

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10688216
Filing Date	2003-10-15
First Named Inventor	Jackson
Art Unit	3673
Examiner Name	SINGH, SUNIL
Attorney Docket Number	4770-37

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	1	919905	A	1909-04-27	LEWIS C. MALTBY	
	2	919105	A	1909-04-20	EMIL WISCHOV	
	3	6431654	B1	2002-08-13	LeBegue	
	4	6304973	B1	2001-10-16	Williams	
	5	5662387	A	1997-09-02	Bartkowiak	
	6	5234257	A	1993-08-10	Sugden et al.	
	7	4523651	A	1985-06-18	Coon et al.	
	8	4330155	A	1982-05-18	Richardson et al.	

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	9	4293077	A	1981-10-06	Makino	
	10	4213653	A	1980-07-22	Grenia	
	11	4123109	A	1978-10-31	Hill	
	12	3620573	A	1971-11-16	OXFORD	
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	1	Rostami et al., "Mini-Disc Equipped Roadheader Technology for Hard Rock Mining", p. 16-1 to 16-12, Golden, Colorado, date unknown	<input type="checkbox"/>
	2	Friant et al., "Mini-Cutter Technolgy - The Answer To A Truly Mobile Excavator", Proceedings of North American Tunneling 1994 Conference and Exhibition; Denver, Colorado, June 6-9, 1994; 8 pages	<input type="checkbox"/>
	3	Ronnkvist et al., "Testing And Performance Evaluation Of A 32 Inch Cutterhead Using Mini Disc Cutters", Proceedings of ISDT Annual Technical Conference 1994; Las Vegas, Nevada; April 18-21, 1994; 8 pages	<input type="checkbox"/>
	4	Ronnkvist et al., "Development Of A Mechanical Alcove Excavator For the Yucca Mountain Exploratory Study Facility", 6 pages, date unknown	<input type="checkbox"/>
	5	Asbury et al., "A New Concept for Selective Mechanical Mining of Hard Rock", date unknown	<input type="checkbox"/>
	6	PCT Written Opinion for International App. No. PCT/US05/13905 dated 22 April 2005.	<input type="checkbox"/>
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Douglas W. Swartz/	Date (YYYY-MM-DD)	2007-05-11
Name/Print	Douglas W. Swartz	Registration Number	37739

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